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#3 3/19/04

Docket No. AEDXC.0112

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Mark Hall

Serial No.:

09/938,971

Filed:

August 28, 2001

For:

Method of aggregating and distributing informal and

formal knowledge using software agents

Attorney Docket Number:

AEDXC.0112

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the previous standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to

Docket No. AEDXC.0112

the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 50-0392. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Applicant's Deposit Account No. 50-0392.

Respectfully submitted,

Date: August 20, 2002

David W. Carstens Reg. No. 34,134

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Approved for use through 10/31/2002, OMB 0851-0031

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/938,971 TRANSMITTAL Filing Date August 28, 2001 **FORM** First Named Inventor Mark Hali (to be used for all correspondence after initial fillno) 2171 Group Art Unit **Examiner Name** Total Number of Pages in This Submission 35 Attorney Docket Number AEDXC.0112 **ENCLOSURES** (check all that apply) Assignment Papers (for an Application) Fee Transmittal Form After Allowance Communication to Group Fee Attached Appeal Communication to Board Drawing(s) of Appeals and Interferences Licensing-related Papers Amendment / Reply Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer Express Abandonment Request References Request for Refund Postcard Information Disclosure Statement acknowledgement CD, Number of CD(s) Certifled Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application References CA - CD Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT David W. Carstens Individual name Signature Date August 20, 2002 CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with <u>sufficient postage as first</u> class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

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Message:

Re: Application No. 09/938,971

Method of Aggregating and distributing Informal and Formal Knowledge Using Software Agent Copy of IDS Submitted August 21, 2002

Dear Examiner Lee.

Enclosed please find a copy of the IDS submitted on August 21, 2002, as requested.

Please let me know if you have any questions or need further information.

C/M#

AEDXC.0112

Logged Date:

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By:

Sarah Lau

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August 21, 2002 David W. Carstens

Applicants: Mark Hall

Method of aggregating and distributing informal and Title:

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- 1. Transmittal Form
- 2. Information Disclosure Statement
- 3. Form PTO/SB/08A
- 4. References CA-CD
- 5. Postcard Acknowledgement

August 21, 2002 David W. Carstens

Applicants: Mark Hall

Title:

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